

INDEPENDENT ASSURANCE REPORT

To the management of GlobalSign NV/SA ("GlobalSign"):

Scope

We have been engaged, in a reasonable assurance engagement, to report on GlobalSign management's assertion that for its Certification Authority (CA) operations at its locations as detailed in [Appendix C](#), throughout the period April 1, 2020 to March 31, 2021 for its CAs as enumerated in [Appendix B](#), GlobalSign has:

- ▶ Disclosed its extended validation code signing ("EV CS") certificate lifecycle management business practices in the applicable versions of the Certificate Practice Statements and Certificate Policies, as stipulated in [Appendix A](#), including its commitment to provide EV CS certificates in conformity with the CA/Browser Forum Guidelines on the GlobalSign's website, and provided such services in accordance with its disclosed practices
- ▶ Maintained effective controls to provide reasonable assurance that:
 - The integrity of keys and EV CS certificates it manages is established and protected throughout their lifecycles; and
 - EV CS subscriber information is properly authenticated (for the registration activities performed by GlobalSign)
- ▶ Maintained effective controls to provide reasonable assurance that:
 - Requests for EV CS Signing Authority and EV CS Timestamp Authority certificates are properly authenticated; and
 - Certificates issued to EV CS Signing Authorities and EV CS Timestamp Authorities are not valid for a period longer than specified by the CA/Browser Forum
- ▶ Maintained effective controls to provide reasonable assurance that its EV CS Timestamp Authority is operated in conformity with CA/Browser Forum Guidelines

in accordance with the [WebTrust Principles and Criteria for Certification Authorities – Code Signing Baseline Requirements – Version 2.0](#).

Certification authority's responsibilities

GlobalSign's management is responsible for its assertion, including the fairness of its presentation, and the provision of its described services in accordance with the [WebTrust Principles and Criteria for Certification Authorities - Code Signing Baseline Requirements - Version 2.0](#).

Our independence and quality control

We have complied with the independence and other ethical requirements of the Code of Ethics for Professional Accountants issued by the International Ethics Standards Board for Accountants, which is founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

The firm applies International Standard on Quality Control 1, and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Auditor's responsibilities

Our responsibility is to express an opinion on management's assertion based on our procedures. We conducted our procedures in accordance with International Standard on Assurance Engagements 3000, Assurance Engagements Other than Audits or Reviews of Historical Financial Information, issued by the International Auditing and Assurance Standards Board. This standard requires that we plan and perform our procedures to obtain reasonable assurance about whether, in all material respects, management's assertion is fairly stated, and, accordingly, included:

1. Obtaining an understanding of GlobalSign CA's EV CS certificate lifecycle management business practices, including its relevant controls over the issuance, renewal, and revocation of EV CS certificates, EV CS Signing Authority certificates, and EV CS Timestamp Authority certificates;
2. Selectively testing transactions executed in accordance with disclosed EV CS certificate lifecycle management practices
3. Testing and evaluating the operating effectiveness of the controls; and
4. Performing such other procedures as we considered necessary in the circumstances.

We believe that the evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

GlobalSign's management has disclosed to us the attached comments ([Appendix D](#)) that have been posted publicly in the online forums of the Bugzilla site, as well as the online forums of individual internet browsers that comprise the CA/Browser Forum. We have considered the nature of these comments in determining the nature, timing and extent of our procedures.

Relative effectiveness of controls

The relative effectiveness and significance of specific controls at GlobalSign and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.

Inherent limitations

Because of the nature and inherent limitations of controls, GlobalSign's ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct, error, fraud, unauthorized access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions based on our findings to future periods is subject to the risk that changes may alter the validity of such conclusions.

Opinion

In our opinion, throughout the period April 1, 2020 to March 31, 2021, GlobalSign management's assertion, as referred to above, is fairly stated, in all material respects, in accordance with the [WebTrust Principles and Criteria for Certification Authorities - Code Signing Baseline Requirements - Version 2.0.](#)

This report does not include any representation as to the quality of GlobalSign's services beyond those covered by the [WebTrust Principles and Criteria for Certification Authorities - Code Signing Baseline Requirements - Version 2.0.](#), nor the suitability of any of GlobalSign's services for any customer's intended purpose.

Use of the WebTrust seal

GlobalSign's use of the WebTrust Principles and Criteria for Certification Authorities - Extended Validation Code Signing Seal constitutes a symbolic representation of the contents of this report and it is not intended, nor should it be construed, to update this report or provide any additional assurance.

EY Bedrijfsrevisoren BV

Diegem, Belgium

Christel Weymeersch, Partner*

June 30, 2021

* Acting on behalf of a BV

Appendix A - Certification Practice Statements and Certificate Policies in Scope

Certification Practice Statement	Begin Effective Date	End Effective Date
Version 9.3	31-Mar-20	07-July-20
Version 9.4	07-July-20	30-Sept-20
Version 9.5	30-Sept-20	29-Dec-20
Version 9.6	29-Dec-20	30-Mar-21
Version 9.7	30-Mar-21	

Certificate Policy	Begin Effective Date	End Effective Date
Version 6.3	31-Mar-20	07-July-20
Version 6.4	07-July-20	30-Sept-20
Version 6.5	30-Sept-20	29-Dec-20
Version 6.6	29-Dec-20	30-Mar-21
Version 6.7	30-Mar-21	

Appendix B - In-Scope CAs

Root CAs			
#	Subject	Fingerprint SHA-256	Additional information
1	CN = GlobalSign Root CA, OU = Root CA, O = GlobalSign nv-sa, C = BE	EBD41040E4BB3EC742C9E381D31EF2A41A48B6685C96E7CEF3C1DF6CD4331C99	
2	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R3	CBB522D7B7F127AD6A0113865BDF1CD4102E7D0759AF635A7CF4720DC963C53B	
3	CN = GlobalSign, O = GlobalSign, OU = GlobalSign ECC Root CA - R5	179FBC148A3DD00FD24EA13458CC43BFA7F59C8182D783A513F6EBEC100C8924	
4	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R6	2CABEAFF37D06CA22ABA7391C0033D25982952C453647349763A3AB5AD6CCF69	
5	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	4FA3126D8D3A11D1C4855A4F807CBAD6CF919D3A5A88B03BEA2C6372D93C40C9	
6	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	CBB9C44D84B8043E1050EA31A69F514955D7BFD2E2C6B49301019AD61D9F5058	
7	CN = GlobalSign Secure Mail Root R45, O = GlobalSign nv-sa, C = BE	319AF0A7729E6F89269C131EA6A3A16FCD86389FDCAB3C47A4A675C161A3F974	
8	CN = GlobalSign Secure Mail Root E45, O = GlobalSign nv-sa, C = BE	5CBF6FB81FD417EA4128CD6F8172A3C9402094F74AB2ED3A06B4405D04F30B19	
9	CN = GlobalSign Code Signing Root R45, O = GlobalSign nv-sa, C = BE	7B9D553E1C92CB6E8803E137F4F287D4363757F5D44B37D52F9FCA22FB97DF86	



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10	CN = GlobalSign Code Signing Root E45, O = GlobalSign nv-sa, C = BE	26C6C5FD4928FD57A8A4C5724FDD279745869C60C338E262FFE901C31BD1DB2B	
11	CN = GlobalSign Document Signing Root R45, O = GlobalSign nv-sa, C = BE	38BE6C7EEB4547D82B9287F243AF32A9DEEB5DC5C9A87A0056F938D91B456A5A	
12	CN = GlobalSign Document Signing Root E45, O = GlobalSign nv-sa, C = BE	F86973BDD0514735E10C1190D0345BF89C77E1C4ADB3F65963B803FD3C9E1FF	
13	CN = GlobalSign Timestamping Root R45, O = GlobalSign nv-sa, C = BE	2BCBBFD66282C680491C8CD7735FDBBAB7A8079B127BEC60C535976834399AF7	
14	CN = GlobalSign Client Authentication Root R45, O = GlobalSign nv-sa, C = BE	165C7E810BD37C1D57CE9849ACCD500E5CB01EEA37DC550DB07E598AAD2474A8	
15	CN = GlobalSign Client Authentication Root E45, O = GlobalSign nv-sa, C = BE	8B0F0FAA2C00FE0532A8A54E7BC5FD139C1922C4F10F0B16E10FB8BE1A634964	
16	CN = GlobalSign Timestamping Root E46, O = GlobalSign nv-sa, C = BE	4774674B94B78F5CCBEF89FDDEBDABBD894A71B55576B8CC5E6876BA3EAB4538	

Other CAs			
#	Subject	Fingerprint SHA-256	Additional information
17	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R3	445EEC78BC61215044A0379656AA2D5DB5E42F76CB70B8D14C2077AA943D4EBB	Cross-certificate
18	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	33EF151EFB08D1C44FB85CC3F23EC6873014E9F881691BD4938B7F251580B694	Cross-certificate
19	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	A5E33C28E3013A71F5F760AE3B16595090043D2EC5209EC52903C4FBAD258DAD	Cross-certificate
20	CN = GlobalSign, O = GlobalSign, OU = GlobalSign ECC Root CA - R5	F349954E8FB6D44011BCB789D97D9A2CB2032BD5F0B598D1FB8A099F5848D523	Cross-certificate
21	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R6	C84E1378B974A991ACDCDD733421E3061E6FA21A0491C8902BAFDE3855E0063E	Cross-certificate
22	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	1DF6054D6641404633641BB5FA3742FDA7D075E2514840AB61E00CCBBB7D341D	Cross-certificate
23	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	A63C1398B5F8DD2D432FBE4C2C19142BEA6D5D0221FAE794718AE7597ACCA96D	Cross-certificate
24	CN = GlobalSign, O = GlobalSign, OU = GlobalSign ECC Root CA - R5	3F319B2AFED4A0F75127BE59925550D0428E68763A09E273EB6A9FF8D18DBB5B	Cross-certificate
25	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R6	DDA8DA736187D76F4FOED5A5F667B54D99A98AE06091D0E3A01714E9221695AD	Cross-certificate
26	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	0F1554C2FD591B0256A608E1C136A837E7A6E041561EE08A911B2AFDCD3C6C1B	Cross-certificate

27	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	45CB1D874CB03BD5C5B6E079C8FC29E51521EE5628486301964A41F94BA59F88	Cross-certificate
28	CN = GlobalSign Client Authentication Root E45, O = GlobalSign nv-sa, C = BE	F375270F2F216B9F62CC31795619F6FE1943DF65D1B198D3341170A40725BFC7	Cross-certificate
29	CN = GlobalSign Client Authentication Root R45, O = GlobalSign nv-sa, C = BE	3DA135562E942799D547CA72EA57D7AC03791B24D4E24BA2E1E1331E8A1678F0	Cross-certificate
30	CN = GlobalSign Code Signing Root E45, O = GlobalSign nv-sa, C = BE	E506B72BB97A14D24959A0D1A977E1ACDB6155D68FB4846885E544D147E7D577	Cross-certificate
31	CN = GlobalSign Code Signing Root R45, O = GlobalSign nv-sa, C = BE	05E0634B1FEB98AE6B4CF101728CF9D5A1793CEACE301506FF332B22D4CF08EB	Cross-certificate
32	CN = GlobalSign Code Signing Root R45, O = GlobalSign nv-sa, C = BE	6385DDCD1B768648267EE5122897CA085FC45D6030ECB55098F583AF152AA4A6	Cross-certificate
33	CN = GlobalSign Secure Mail Root E45, O = GlobalSign nv-sa, C = BE	5B87841E80AAFE877804E56694473DCA8BB9F1FF4FFCF885A3DAFD24463FCB64	Cross-certificate
34	CN = GlobalSign Secure Mail Root R45, O = GlobalSign nv-sa, C = BE	5268C94573AF66BA5A3DE979F2048DE43BD4B68AF0B73A2D639159FAFE637F69	Cross-certificate

35	CN = GlobalSign Timestamping Root R45, O = GlobalSign nv-sa, C = BE	6AFBC07C724C6F75C7743392F32BF5780BD5DD39268544D4882E7AEC1ADD0282	Cross-certificate
36	CN = GlobalSign Root CA, OU = Root CA, O = GlobalSign nv-sa, C = BE	6ADD368DA35458A09EDF880D0570E863ADC8507D49406B4D97E44E53BC9308C9	Cross-certificate
37	CN = GlobalSign, OU = GlobalSign Root CA - R3, O = GlobalSign	2510E248903F01B63F9398179E5506979F0EF507E4174B657AF6F770A417EC94	Cross-certificate
38	CN = GlobalSign, OU = GlobalSign ECC Root CA - R5, O = GlobalSign	0AED971671F8FE67EBA28817550AFEE946FDC2C52250003A6CFB87BC5AAD8A04	Cross-certificate
39	CN = GlobalSign, OU = GlobalSign Root CA - R6, O = GlobalSign	75BFB522CC4B58DA22EE488517C5F2EFF92576D20F595F9ACE483A036FC1BB6B	Cross-certificate
40	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R3	C94FEDDA4E8608908580BC7F87B434E03BB262E42F64C63820A8F50FB17C1CEC	Cross-certificate
41	CN = GlobalSign R45 AATL Root CA 2020, O = GlobalSign nv-sa, C = BE	B296DC3BCF451217D7AD4F84FB8EE25CC92FC659CAFA0EB4A8B5B4DB3D0215C2	
42	CN = GlobalSign CA for AATL - SHA384 - G4, O = GlobalSign nv-sa, C = BE	AC0AB963BB5F3DA05FBC8687F98C2B6EA0BB499E6118C1A9136B1BC7C3C71A6B	
43	CN = GlobalSign CA for AATL - SHA256 - G2, O = GlobalSign nv-sa, C = BE	AA89C466E9D06882C0DAAF72BE0F0FBCFE7C1EF2AAAD190640C4AD44F5517F34	
44	CN = GlobalSign CA for AATL - SHA256 - G3, O = GlobalSign nv-sa, C = BE	2E8820DC0EAFAE3D6D285C057ECE14470B377438B002CEDD4C72B4F343A54F43	

45	CN = GlobalSign E45 AATL Root CA 2020, O = GlobalSign nv-sa, C = BE	87903E20623512D28BDBFACE4D41BD50F5BA6B1B2E8CCAB134CE161769EAEC00	
46	CN = GlobalSign PersonalSign Partners CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	118262C2088EE1528E20D836D2070854707C0D8F8E80FBE396F9ECD4B9141B5B	Revoked on September 30, 2020
47	CN = JCAN Sub Root CA0, OU = JCAN Sub Root CA0, O = JIPDEC, C = JP	8FA602FFF590DF583A36D509C265F6C3EA8C34A9D56CFF86285FBFE9936BFC55	Revoked on December 31, 2020
48	CN = GlobalSign CA 2 for AATL, O = GlobalSign nv-sa, C = BE	A13820A7387BDFAD204463EFA9216416639B7E73C31DC2F499F53FCD4D4D25C4	Revoked on December 31, 2020
49	CN = GlobalSign CA 3 for AATL, O = GlobalSign nv-sa, C = BE	C01963059070CB2306F4B486CCF1503359209E98499C810C2B49E26E31A4BD74	Revoked on December 31, 2020
50	CN = GlobalSign PersonalSign 1 CA - G3, O = GlobalSign nv-sa, C = BE	254BE91C1ABCB28DB5E4D675A29A1E788460B06591F1BA8497CBD17837E27ABE	Revoked on June 30, 2020
51	CN = GlobalSign PersonalSign 2 CA - G3, O = GlobalSign nv-sa, C = BE	64E71601F7050921DEE039C03493615E488F12FC3FCECBADF438AA467EE1D41A	Revoked on June 30, 2020
52	CN = GlobalSign PersonalSign 3 CA - G3, O = GlobalSign nv-sa, C = BE	C228D93DBE5536A120AC24ED934467BAD7292F8B7EB202634B17070A89C5FE9B	Revoked on July 30, 2020

53	CN = JCAN Public CA1 - G3, OU = JCAN Public CA1 - G3, O = JIPDEC, C = JP	91E98D0947C125494EAAF2A38D087BE0781AF20D8A14EE8C39FECDC482CF5F82	Revoked on December 31, 2020
54	CN = NAESB Issuing CA - SHA384 - G3, O = GMO GlobalSign Inc., L = Portsmouth, ST = New Hampshire, C = US	0986B5A1C7314EFB04FB648B9E2B57CF4842FD1D4345D28E52094C90A9FECBFE	Revoked on September 30, 2020

DV SSL Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
55	CN = AlphaSSL CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	8C2410F76B149CA062B420AB611B6BF0A06E6E799A29587E4D4EC16D0537B7EA	
56	CN = AlphaSSL CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	EE793643199474ED60EFDC8CCDE4D37445921683593AA751BBF8EE491A391E97	
57	CN = GlobalSign Domain Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	BFDF4CF3F143AD0DB912D8AB3A7C12F617B9EA60CE8B1F4E44F74270FB21B19B	
58	CN = GlobalSign Domain Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	37D080A5E9A526663E56EE20DE4BD9F7952DACC341491439080167AD5DD18CAC	
59	CN = AlphaSSL CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	5A817EADA4AD78734EBB2CF674352D97F4352290C40EAF10E764B1EAC075B0E6	
60	CN = AlphaSSL CA - SHA256 - G2, O = AlphaSSL	933E4A2D8DB1FF9FF0E508EA7F1637E074F660FE6F365A2233DFC2B52C889D98	
61	CN = GlobalSign Domain Validation CA - SHA256 - G2,	669E7727A92BE72D9718E16922DC1BC1492B1AF33FA4793E68D8778F19A2EBE0	



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	O = GlobalSign nv-sa, C = BE		
62	CN = AlphaSSL CA - G2, O = AlphaSSL	1DCA65B86A57336BA5AFB7214A36F7D6EDE698EB4F03387CB3AD6BBD3A93BAB6	Revoked on December 09, 2020
63	CN = GlobalSign Domain Validation CA - G2, O = GlobalSign nv-sa, C = BE	E87EA2BED6C6203B2C55B00FE49D2876FBA64702105AEB748B865A918B5C7D27	Revoked on December 09, 2020
64	CN = GlobalSign Domain Validation CA - G2, O = GlobalSign nv-sa, C = BE	BA0E2B6BF2C98ED2C3B1C1C08A1BBDCAF9270AC528A3194301DD2A0B6B67B9B3	Revoked on December 09, 2020

OV SSL Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
65	CN = GlobalSign ECC OV SSL CA 2018, O = GlobalSign nv-sa, C = BE	87C71553445EB3C33C3E0710711B99E9C7773F04D91AC38A9F4C082EE24101EA	
66	CN = GlobalSign Organization Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	1C9737E968AD9DFF8D8D7FCF996A144BB97851A19011A0190C943CAE8D43CFE0	
67	CN = GlobalSign RSA OV SSL CA 2018, O = GlobalSign nv-sa, C = BE	B676FFA3179E8812093A1B5EAFEE876AE7A6AAF231078DAD1BFB21CD2893764A	
68	CN = GlobalSign Organization Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	74EF335E5E18788307FB9D89CB704BEC112ABD23487DBFF41C4DED5070F241D9	
69	CN = GlobalSign Organization Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	0B339212D7CFF17A2C59E35669B58E77350133750A78DA9404770EDD470DEF76	
70	CN = Trusted Root CA G2, O = GlobalSign nv-sa, OU = Trusted Root, C = BE	6E32A35B599E9087BB1AB35CE73022EC2E26AF34BE388919419C95700CD8E7FB	Revoked on July 11, 2020
71	CN = Trusted Root CA SHA256 G2, O = GlobalSign nv-sa, OU = Trusted Root, C = BE	01FD73EF5E70F526FC9C11F65FE2EE6F7125B3693949227FFD8E459E583C458A	Revoked on July 11, 2020
72	CN = GlobalSign Organization Validation CA - G2, O = GlobalSign nv-sa, C = BE	A66422C4E449D465CB023A7FC7633DE8ED1816985CA41093FC96B3663ED7A43B	Revoked on December 09, 2020



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73	CN = ICPEdu, O = Rede Nacional de Ensino e Pesquisa - RNP, OU = Gerencia de Servicos (GSer), L = Rio de Janeiro, ST = Rio de Janeiro, C = BR	EACBA6C96598B4A41FD53D69AB9ED9FBBB1381154C453456720C174FFE576D1D	Parent revoked on July 11, 2020
74	CN = GlobalSign Organization Validation CA - G2, O = GlobalSign nv-sa, C = BE	A6233ECB77053F72937E894372A601ED3ABEA90CC3B5D89512720BF3FFCBDC9D	Revoked on December 9, 2020

EV SSL Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
75	CN = GlobalSign ECC EV SSL CA 2018, O = GlobalSign nv-sa, C = BE	8F19FFE02FC795ED70765D1436ADDF772FE0F0773DA436EDBDB42A2E30E2E828	
76	CN = GlobalSign Extended Validation CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	AED5DD9A5339685DFB029F6D89A14335A96512C3CACC52B2994AF8B6B37FA4D2	
77	CN = GlobalSign EC Administration CA2, O = GlobalSign nv-sa, C = BE	C883B3ECC6AC0DEE75CDD585E73A209287BC0C9F9D79D488860F63E2EA8A7D2C	Revoked on December 09, 2020
78	CN = GlobalSign Extended Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	5BB2382D20E09AE56B4F3A5157838F7BAE49E42E3CE53484D66EEB2C0747EF4A	Revoked on January 20, 2021

Non-EV Code Signing Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
79	CN = GlobalSign CodeSigning CA - G3, O = GlobalSign nv-sa, C = BE	4047C9D69260C07213BCB8608A7EC5E2838A56B79F67847812EAC0778D0D27F1	
80	CN = GlobalSign CodeSigning CA - G2, O = GlobalSign nv-sa, C = BE	FFFE077503FD72F0E5338B0A7B4E218E7D1FF82E493E7E852AE51AA1C7585D17	
81	CN = GlobalSign CodeSigning CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	BE40813869AB27A071D12AD6A8830583EBC3B618E3F2346359F4B11A1C9434EE	
82	CN = GlobalSign CodeSigning CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	FB54EEA9BCE8E9EA9782154F3D414277FB709F49B947D73978AC278546C2CE03	

EV Code Signing Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
83	CN = GlobalSign GCC R45 EV CodeSigning CA 2020, O = GlobalSign nv-sa, C = BE	CDOE144DD10BAC221FE2FB901058D16450A0578B3C47C770908F2E9ADA28EF12	
84	CN = GlobalSign Extended Validation CodeSigning CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	1E864278C20881B671C0C6D2E14B61150AD1F13CF92C6EC14B550DCBC47E1541	
85	CN = GlobalSign Extended Validation CodeSigning CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	DD038E87E0B4D2C369680D3DE78638AB39FC1D7E50632996921101768DB8D4D8	

Timestamp CAs			
#	Subject	Fingerprint SHA-256	Additional information
86	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Atlas R45 Qualified Timestamping CA 2020, O = GlobalSign nv-sa, C = BE	D86E6264D58E4CA78AF419740D8186EA8EC48F0C33B4B4D7CA027931D627B1C8	
87	2.5.4.97 = NTRGB-04705639, CN = GlobalSign Atlas R45 UK Qualified Timestamping CA 2020, O = GMO GlobalSign Ltd, C = GB	4C03F2D6941F2DFCA27EDC599F385E6CD3FFD0CC842F2CCB95B77580A2D1FF93	
88	CN = GlobalSign Qualified Time Stamping CA 2019, O = GlobalSign nv-sa, C = BE	74ABE5E5CCEB75491FF72C4CF325405D8ADBFE390E189CF430BA60E62798878E	
89	CN = GlobalSign Partners Timestamping CA - SHA384 - G4, O = GlobalSign nv-sa, C = BE	2A015531A5F3A4CE589BD853C71DD069587322F574D85C9C9B9F9DF8F86C075E	
90	CN = GlobalSign Timestamping CA - SHA384 - G4, O = GlobalSign nv-sa, C = BE	F642418E4D0C63DEC785C960EFA68BA745F38851744EF81F225CB89305314D50	
91	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Atlas ECCR5 Qualified Timestamping CA 2020, O = GlobalSign nv-sa, C = BE	E55A4FE881A4B0433674EC0E5CC261A99CCE3FEF649528C7218085BEDCD11512	
92	2.5.4.97 = NTRGB-04705639, CN = GlobalSign Atlas ECCR5 UK Qualified Timestamping CA 2020, O = GMO GlobalSign Ltd, C = GB	40382F8827B75D25705A6B3DA32533C5F4218BD7FA697CB0B300E8F47047FBC9	

93	CN = GlobalSign Partners TSA CA for AATL, O = GlobalSign nv-sa, C = BE	7E8F914119BB1090D6204908E5AE1F40BE24C1491CD7D5CFB6A93618CBC00FD9	
94	CN = GlobalSign Timestamping CA - G3, O = GlobalSign nv-sa, C = BE	95C6A747DD0BC755A1941827E894B8083592241B792541E2EB1B30FB9B13F57F	
95	CN = GlobalSign Timestamping CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	BE33D1C57EBDDD927B57BDB604BE457B552FE568E7F3DCBA093C39ED1C30A239	
96	CN = GlobalSign TSA CA for AATL, O = GlobalSign nv-sa, C = BE	4D8EB49380EC72AC9FDF21FE1C6DB2E9490C76BEADD1F7B528C3CCD272C8FE28	
97	CN = GlobalSign Timestamping CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	9BF9496777D14425ED0086C1BB2C0707B62A61C194C5162E4F07637AFF166B76	
98	CN = GlobalSign Timestamping CA - R3, O = GlobalSign, OU = Timestamping CA	61C1067083AE044EF1D649CE590BBF09D9D739E025DA8D195F71CFAAD6EBAE69	
99	CN = GlobalSign Timestamping CA - G2, O = GlobalSign nv-sa, C = BE	C977923C771E1A66C925A2B6F501732E678DC9887AFE6BFAAC039D1D9A71F0EC	
100	CN = GlobalSign Timestamping CA, O = GlobalSign, OU = Timestamping CA	DOCAE6947BC77F0B495CA808D6CDE685FCD20225E1E530B635B113ED40728EF3	
101	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Qualified Timestamping ECC CA 2020, O = GlobalSign nv-sa, C = BE	C2FEACD674878C7B0C2325A2ECED0A333DB7780A86DFEC3758100EFC0101C665	Revoked on July 08, 2020



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102	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Qualified Timestamping CA 2020, O = GlobalSign nv-sa, C = BE	FD8B39230117A7E4D060E39489F4FE30662BAFE624A29E43B133615BABC63C20	Revoked on July 08, 2020
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Appendix C - Locations

Location Identifier	Country	State / Province
BE-01	Belgium	Vlaams-Brabant
CN-01	China	Shanghai
IN-01	India	Delhi
JP-01	Japan	Tokyo
JP-02	Japan	Yamaguchi
JP-03	Japan	Tokyo
JP-04	Japan	Tokyo
JP-05	Japan	Tokyo
PH-01	Philippines	National Capital Region
RU-01	Russia	Moscow
SG-01	Singapore	Central Singapore
SG-02	Singapore	Central Singapore
UK-01	United Kingdom	Kent
UK-02	United Kingdom	Greater London
UK-03	United Kingdom	Greater London
US-01	United States	New Hampshire

Appendix D - Publicly disclosed incidents

#	Disclosure	Publicly Disclosed Link
1	GlobalSign: SSL Certificates with US country code and invalid State/Prov	Bugzilla Ticket Link
2	GlobalSign: ICAs in CCADB, without EKU extension are listed in WTCA report but not in WTBR report	Bugzilla Ticket Link
3	GlobalSign: Failure to revoke noncompliant ICA within 7 days	Bugzilla Ticket Link
4	GlobalSign: OCSP Status HTTP 530	Bugzilla Ticket Link
5	GlobalSign: Misissuance of QWAC Certificates	Bugzilla Ticket Link
6	GlobalSign: Failure to revoke 2 noncompliant QWACs within 5 days	Bugzilla Ticket Link
7	GlobalSign: Certificate issued with RSASSA-PSS public key	Bugzilla Ticket Link
8	GlobalSign: Failure to revoke key-compromised certificate within 24 hours	Bugzilla Ticket Link
9	GlobalSign: Incorrect OCSP Delegated Responder Certificate	Bugzilla Ticket Link
10	GlobalSign: Cross Certificate with non-conforming CABF Policy OIDs	Bugzilla Ticket Link
11	GlobalSign: Failure to revoke noncompliant ICA within 7 days	Bugzilla Ticket Link
12	GlobalSign: Use of Domain Validation Random Value for more than 30 days	Bugzilla Ticket Link
13	GlobalSign: Failure to revoke noncompliant certificates within 5 days	Bugzilla Ticket Link
14	GlobalSign: Certificates with RSA keys where modulus is not divisible by 8	Bugzilla Ticket Link
15	GlobalSign: Incorrect Jurisdiction of Incorporation information for Japan	Bugzilla Ticket Link
16	GlobalSign: SHA-256 hash algorithm used with ECC P-384 key	Bugzilla Ticket Link
17	GlobalSign: Empty SingleExtension in OCSP responses	Bugzilla Ticket Link
18	GlobalSign: Failure to provide a preliminary report within 24 hours	Bugzilla Ticket Link
19	GlobalSign: Invalid stateOrProvinceName value	Bugzilla Ticket Link
20	GlobalSign: RSA-1024 leaf certificate issued after 2013-12-31	Bugzilla Ticket Link
21	GlobalSign: Issuing CA certificate with wrong notBefore date	Bugzilla Ticket Link



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GlobalSign Management's Assertion

GlobalSign NV/SA ("GlobalSign") operates the Certification Authority (CA) services as disclosed in [Appendix B](#) and provides Extended Validation Code Signing ("EV CS") CA services.

The management of GlobalSign is responsible for establishing and maintaining effective controls over its EV CS CA operations, including its EV CS CA business practices disclosure on its website, EV CS key lifecycle management controls, EV CS certificate lifecycle management controls, EV CS Signing Authority and EV CS Timestamp Authority certificate lifecycle management controls. These controls contain monitoring mechanisms, and actions are taken to correct deficiencies identified.

There are inherent limitations in any controls, including the possibility of human error, and the circumvention or overriding of controls. Accordingly, even effective controls can only provide reasonable assurance with respect to GlobalSign's Certification Authority operations. Furthermore, because of changes in conditions, the effectiveness of controls may vary over time.

GlobalSign management has assessed its disclosures of its certificate practices and controls over its EV CS CA services. Based on that assessment, in GlobalSign management's opinion, in providing its EV CS Certification Authority (CA) operations at its locations as detailed in [Appendix C](#), throughout the period April 1, 2020 to March 31, 2021, GlobalSign has:

- Disclosed its extended validation code signing ("EV CS") certificate lifecycle management business practices in the applicable versions of the Certificate Practice Statement and Certificate Policy, as stipulated in [Appendix A](#), including its commitment to provide EV CS certificates in conformity with the CA/Browser Forum Guidelines, and provided such services in accordance with its disclosed practices.
- Maintained effective controls to provide reasonable assurance that:
 - The integrity of keys and EV CS certificates it manages was established and protected throughout their lifecycles; and
 - EV CS subscriber information was properly authenticated (for the registration activities performed by GlobalSign)
- Maintained effective controls to provide reasonable assurance that:
 - Requests for EV CS Signing Authority and EV CS Timestamp Authority certificates were properly authenticated; and
 - Certificates issued to EV CS Signing Authorities and EV CS Timestamp Authorities were not valid for a period longer than specified by the CA/Browser Forum
- Maintained effective controls to provide reasonable assurance that its EV CS Timestamp Authority was operated in conformity with CA/Browser Forum Guidelines
- Disclosed the attached comments ([Appendix D](#)) that have been posted publicly in the online forums of the Bugzilla site, as well as the online forums of individual internet browsers that comprise the CA/Browser Forum. We have considered the nature of these comments in determining the nature, timing and extent of our procedures.



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In accordance with the [WebTrust Principles and Criteria for Certification Authorities – Code Signing Baseline Requirements – Version 2.0.](#)

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Leuven, Belgium

Signed by: Arvid Vermote
Function: Chief Information Security Officer

June 29, 2021

Appendix A – Certification Practice Statements and Certificate Policies in Scope

Certification Practice Statement	Begin Effective Date	End Effective Date
Version 9.3	31-Mar-20	07-July-20
Version 9.4	07-July-20	30-Sept-20
Version 9.5	30-Sept-20	29-Dec-20
Version 9.6	29-Dec-20	30-Mar-21
Version 9.7	30-Mar-21	

Certificate Policy	Begin Effective Date	End Effective Date
Version 6.3	31-Mar-20	07-July-20
Version 6.4	07-July-20	30-Sept-20
Version 6.5	30-Sept-20	29-Dec-20
Version 6.6	29-Dec-20	30-Mar-21
Version 6.7	30-Mar-21	

Appendix B – In-Scope CAs

Root CAs			
#	Subject	Fingerprint SHA-256	Additional information
1	CN = GlobalSign Root CA, OU = Root CA, O = GlobalSign nv-sa, C = BE	EBD41040E4BB3EC742C9E381D31EF2A41A48B6685C96E7CEF3C1DF6CD4331C99	
2	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R3	CBB522D7B7F127AD6A0113865BDF1CD4102E7D0759AF635A7CF4720DC963C53B	
3	CN = GlobalSign, O = GlobalSign, OU = GlobalSign ECC Root CA - R5	179FBC148A3DD00FD24EA13458CC43BFA7F59C8182D783A513F6EBEC100C8924	
4	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R6	2CABEAFFE37D06CA22ABA7391C0033D25982952C453647349763A3AB5AD6CCF69	
5	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	4FA3126D8D3A11D1C4855A4F807CBAD6CF919D3A5A88B03BEA2C6372D93C40C9	
6	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	CBB9C44D84B8043E1050EA31A69F514955D7BFD2E2C6B49301019AD61D9F5058	
7	CN = GlobalSign Secure Mail Root R45, O = GlobalSign nv-sa, C = BE	319AF0A7729E6F89269C131EA6A3A16FCD86389FDCAB3C47A4A675C161A3F974	
8	CN = GlobalSign Secure Mail Root E45, O = GlobalSign nv-sa, C = BE	5CBF6FB81FD417EA4128CD6F8172A3C9402094F74AB2ED3A06B4405D04F30B19	
9	CN = GlobalSign Code Signing Root R45, O = GlobalSign nv-sa, C = BE	7B9D553E1C92CB6E8803E137F4F287D4363757F5D44B37D52F9FCA22FB97DF86	



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10	CN = GlobalSign Code Signing Root E45, O = GlobalSign nv-sa, C = BE	26C6C5FD4928FD57A8A4C5724FDD279745869C60C338E262FFE901C31BD1DB2B	
11	CN = GlobalSign Document Signing Root R45, O = GlobalSign nv-sa, C = BE	38BE6C7EEB4547D82B9287F243AF32A9DEEB5DC5C9A87A0056F938D91B456A5A	
12	CN = GlobalSign Document Signing Root E45, O = GlobalSign nv-sa, C = BE	F86973BDD0514735E10C1190D0345BF89C77E1C4ADBD3F65963B803FD3C9E1FF	
13	CN = GlobalSign Timestamping Root R45, O = GlobalSign nv-sa, C = BE	2BCBBFD66282C680491C8CD7735FDBBAB7A8079B127BEC60C535976834399AF7	
14	CN = GlobalSign Client Authentication Root R45, O = GlobalSign nv-sa, C = BE	165C7E810BD37C1D57CE9849ACCD500E5CB01EEA37DC550DB07E598AAD2474A8	
15	CN = GlobalSign Client Authentication Root E45, O = GlobalSign nv-sa, C = BE	8B0F0FAA2C00FE0532A8A54E7BC5FD139C1922C4F10F0B16E10FB8BE1A634964	
16	CN = GlobalSign Timestamping Root E46, O = GlobalSign nv-sa, C = BE	4774674B94B78F5CCBEF89FDDEBDABBD894A71B55576B8CC5E6876BA3EAB4538	

Other CAs

#	Subject	Fingerprint SHA-256	Additional information
17	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R3	445EEC78BC61215044A0379656AA2D5DB5E42F76CB70B8D14C2077AA943D4EBB	Cross-certificate
18	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	33EF151EFB08D1C44FB85CC3F23EC6873014E9F881691BD4938B7F251580B694	Cross-certificate

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19	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	A5E33C28E3013A71F5F760AE3B16595090043D2EC5209EC52903C4FBAD258DAD	Cross-certificate
20	CN = GlobalSign, O = GlobalSign, OU = GlobalSign ECC Root CA - R5	F349954E8FB6D44011BCB789D97D9A2CB2032BD5F0B598D1FB8A099F5848D523	Cross-certificate
21	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R6	C84E1378B974A991ACDCDD733421E3061E6FA21A0491C8902BAFDE3855E0063E	Cross-certificate
22	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	1DF6054D6641404633641BB5FA3742FDA7D075E2514840AB61E00CCBBB7D341D	Cross-certificate
23	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	A63C1398B5F8DD2D432FBE4C2C19142BEA6D5D0221FAE794718AE7597ACCA96D	Cross-certificate
24	CN = GlobalSign, O = GlobalSign, OU = GlobalSign ECC Root CA - R5	3F319B2AFED4A0F75127BE59925550D0428E68763A09E273EB6A9FF8D18DBB5B	Cross-certificate
25	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R6	DDA8DA736187D76F4F0ED5A5F667B54D99A98AE06091D0E3A01714E9221695AD	Cross-certificate
26	CN = GlobalSign Root E46, O = GlobalSign nv-sa, C = BE	0F1554C2FD591B0256A608E1C136A837E7A6E041561EE08A911B2AFDCD3C6C1B	Cross-certificate
27	CN = GlobalSign Root R46, O = GlobalSign nv-sa, C = BE	45CB1D874CB03BD5C5B6E079C8FC29E51521EE5628486301964A41F94BA59F88	Cross-certificate
28	CN = GlobalSign Client Authentication Root E45, O = GlobalSign nv-sa, C = BE	F375270F2F216B9F62CC31795619F6FE1943DF65D1B198D3341170A40725BFC7	Cross-certificate
29	CN = GlobalSign Client Authentication Root R45, O = GlobalSign nv-sa, C = BE	3DA135562E942799D547CA72EA57D7AC03791B24D4E24BA2E1E1331E8A1678F0	Cross-certificate
30	CN = GlobalSign Code Signing Root E45, O = GlobalSign nv-sa,	E506B72BB97A14D24959A0D1A977E1ACDB6155D68FB4846885E544D147E7D577	Cross-certificate

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	C = BE		
31	CN = GlobalSign Code Signing Root R45, O = GlobalSign nv-sa, C = BE	05E0634B1FEB98AE6B4CF101728CF9D5A1793CEACE301506FF332B22D4CF08EB	Cross-certificate
32	CN = GlobalSign Code Signing Root R45, O = GlobalSign nv-sa, C = BE	6385DDCD1B768648267EE5122897CA085FC45D6030ECB55098F583AF152AA4A6	Cross-certificate
33	CN = GlobalSign Secure Mail Root E45, O = GlobalSign nv-sa, C = BE	5B87841E80AAFE877804E56694473DCA8BB9F1FF4FFCF885A3DAFD24463FCB64	Cross-certificate
34	CN = GlobalSign Secure Mail Root R45, O = GlobalSign nv-sa, C = BE	5268C94573AF66BA5A3DE979F2048DE43BD4B68AF0B73A2D639159FAFE637F69	Cross-certificate
35	CN = GlobalSign Timestamping Root R45, O = GlobalSign nv-sa, C = BE	6AFBC07C724C6F75C7743392F32BF5780BD5DD39268544D4882E7AEC1ADD0282	Cross-certificate
36	CN = GlobalSign Root CA, OU = Root CA, O = GlobalSign nv-sa, C = BE	6ADD368DA35458A09EDF880D0570E863ADC8507D49406B4D97E44E53BC9308C9	Cross-certificate
37	CN = GlobalSign, OU = GlobalSign Root CA - R3, O = GlobalSign	2510E248903F01B63F9398179E5506979F0EF507E4174B657AF6F770A417EC94	Cross-certificate
38	CN = GlobalSign, OU = GlobalSign ECC Root CA - R5, O = GlobalSign	0AED971671F8FE67EBA28817550AFEE946FDC2C52250003A6CFB87BC5AAD8A04	Cross-certificate
39	CN = GlobalSign, OU = GlobalSign Root CA - R6, O = GlobalSign	75BFB522CC4B58DA22EE488517C5F2EFF92576D20F595F9ACE483A036FC1BB6B	Cross-certificate
40	CN = GlobalSign, O = GlobalSign, OU = GlobalSign Root CA - R3	C94FEDDA4E8608908580BC7F87B434E03BB262E42F64C63820A8F50FB17C1CEC	Cross-certificate
41	CN = GlobalSign R45 AATL Root CA	B296DC3BCF451217D7AD4F84FB8EE25CC92FC659CAFA0EB4A8B5B4DB3D0215C2	



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	2020, O = GlobalSign nv-sa, C = BE		
42	CN = GlobalSign CA for AATL - SHA384 - G4, O = GlobalSign nv-sa, C = BE	AC0AB963BB5F3DA05FBC8687F98C2B6EA0BB499E6118C1A9136B1BC7C3C71A6B	
43	CN = GlobalSign CA for AATL - SHA256 - G2, O = GlobalSign nv-sa, C = BE	AA89C466E9D06882C0DAAF72BE0F0FBCFE7C1EF2AAAD190640C4AD44F5517F34	
44	CN = GlobalSign CA for AATL - SHA256 - G3, O = GlobalSign nv-sa, C = BE	2E8820DC0EAFAE3D6D285C057ECE14470B377438B002CEDD4C72B4F343A54F43	
45	CN = GlobalSign E45 AATL Root CA 2020, O = GlobalSign nv-sa, C = BE	87903E20623512D28BDBFACE4D41BD50F5BA6B1B2E8CCAB134CE161769EAEC00	
46	CN = GlobalSign PersonalSign Partners CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	118262C2088EE1528E20D836D2070854707C0D8F8E80FBE396F9ECD4B9141B5B	Revoked on September 30, 2020
47	CN = JCAN Sub Root CA0, OU = JCAN Sub Root CA0, O = JIPDEC, C = JP	8FA602FFF590DF583A36D509C265F6C3EA8C34A9D56CFF86285FBFE9936BFC55	Revoked on December 31, 2020
48	CN = GlobalSign CA 2 for AATL, O = GlobalSign nv-sa, C = BE	A13820A7387BDFAD204463EFA9216416639B7E73C31DC2F499F53FCD4D4D25C4	Revoked on December 31, 2020
49	CN = GlobalSign CA 3 for AATL, O = GlobalSign nv-sa, C = BE	C01963059070CB2306F4B486CCF1503359209E98499C810C2B49E26E31A4BD74	Revoked on December 31, 2020
50	CN = GlobalSign PersonalSign 1 CA - G3, O = GlobalSign nv-sa, C = BE	254BE91C1ABCB28DB5E4D675A29A1E788460B06591F1BA8497CBD17837E27ABE	Revoked on June 30, 2020
51	CN = GlobalSign PersonalSign 2 CA -	64E71601F7050921DEE039C03493615E488F12FC3FCECBADF438AA467EE1D41A	Revoked on June 30, 2020

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	G3, O = GlobalSign nv-sa, C = BE		
52	CN = GlobalSign PersonalSign 3 CA - G3, O = GlobalSign nv-sa, C = BE	C228D93DBE5536A120AC24ED934467BAD7292F8B7EB202634B17070A89C5FE9B	Revoked on July 30, 2020
53	CN = JCAN Public CA1 - G3, OU = JCAN Public CA1 - G3, O = JIPDEC, C = JP	91E98D0947C125494EAAF2A38D087BE0781AF20D8A14EE8C39FECDC482CF5F82	Revoked on December 31, 2020
54	CN = NAESB Issuing CA - SHA384 - G3, O = GMO GlobalSign Inc., L = Portsmouth, ST = New Hampshire, C = US	0986B5A1C7314EFB04FB648B9E2B57CF4842FD1D4345D28E52094C90A9FECBFE	Revoked on September 30, 2020

DV SSL Issuing CAs

#	Subject	Fingerprint SHA-256	Additional information
55	CN = AlphaSSL CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	8C2410F76B149CA062B420AB611B6BF0A06E6E799A29587E4D4EC16D0537B7EA	
56	CN = AlphaSSL CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	EE793643199474ED60EFDC8CCDE4D37445921683593AA751BBF8EE491A391E97	
57	CN = GlobalSign Domain Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	BFDF4CF3F143AD0DB912D8AB3A7C12F617B9EA60CE8B1F4E44F74270FB21B19B	
58	CN = GlobalSign Domain Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	37D080A5E9A526663E56EE20DE4BD9F7952DACC341491439080167AD5DD18CAC	
59	CN = AlphaSSL CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	5A817EADA4AD78734EBB2CF674352D97F4352290C40EAF10E764B1EAC075B0E6	

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60	CN = AlphaSSL CA - SHA256 - G2, O = AlphaSSL	933E4A2D8DB1FF9FF0E508EA7F1637E074F660FE6F365A2233DFC2B52C889D98	
61	CN = GlobalSign Domain Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	669E7727A92BE72D9718E16922DC1BC1492B1AF33FA4793E68D8778F19A2EBE0	
62	CN = AlphaSSL CA - G2, O = AlphaSSL	1DCA65B86A57336BA5AFB7214A36F7D6EDE698EB4F03387CB3AD6BBD3A93BAB6	Revoked on December 09, 2020
63	CN = GlobalSign Domain Validation CA - G2, O = GlobalSign nv-sa, C = BE	E87EA2BED6C6203B2C55B00FE49D2876FBA64702105AEB748B865A918B5C7D27	Revoked on December 09, 2020
64	CN = GlobalSign Domain Validation CA - G2, O = GlobalSign nv-sa, C = BE	BA0E2B6BF2C98ED2C3B1C1C08A1BBDCAF9270AC528A3194301DD2A0B6B67B9B3	Revoked on December 09, 2020

OV SSL Issuing CAs

#	Subject	Fingerprint SHA-256	Additional information
65	CN = GlobalSign ECC OV SSL CA 2018, O = GlobalSign nv-sa, C = BE	87C71553445EB3C33C3E0710711B99E9C7773F04D91AC38A9F4C082EE24101EA	
66	CN = GlobalSign Organization Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	1C9737E968AD9DFF8D8D7FCF996A144BB97851A19011A0190C943CAE8D43CFE0	
67	CN = GlobalSign RSA OV SSL CA 2018, O = GlobalSign nv-sa, C = BE	B676FFA3179E8812093A1B5EAFEE876AE7A6AAF231078DAD1BFB21CD2893764A	
68	CN = GlobalSign Organization Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	74EF335E5E18788307FB9D89CB704BEC112ABD23487DBFF41C4DED5070F241D9	
69	CN = GlobalSign Organization Validation CA - SHA256 - G2,	0B339212D7CFF17A2C59E35669B58E77350133750A78DA9404770EDD470DEF76	

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	O = GlobalSign nv-sa, C = BE		
70	CN = Trusted Root CA G2, O = GlobalSign nv-sa, OU = Trusted Root, C = BE	6E32A35B599E9087BB1AB35CE73022EC2E26AF34BE388919419C95700CD8E7FB	Revoked on July 11, 2020
71	CN = Trusted Root CA SHA256 G2, O = GlobalSign nv-sa, OU = Trusted Root, C = BE	01FD73EF5E70F526FC9C11F65FE2EE6F7125B3693949227FFD8E459E583C458A	Revoked on July 11, 2020
72	CN = GlobalSign Organization Validation CA - G2, O = GlobalSign nv-sa, C = BE	A66422C4E449D465CB023A7FC7633DE8ED1816985CA41093FC96B3663ED7A43B	Revoked on December 09, 2020
73	CN = ICPEdu, O = Rede Nacional de Ensino e Pesquisa - RNP, OU = Gerencia de Servicos (GSer), L = Rio de Janeiro, ST = Rio de Janeiro, C = BR	EACBA6C96598B4A41FD53D69AB9ED9FBBB1381154C453456720C174FFE576D1D	Parent revoked on July 11, 2020
74	CN = GlobalSign Organization Validation CA - G2, O = GlobalSign nv-sa, C = BE	A6233ECB77053F72937E894372A601ED3ABEA90CC3B5D89512720BF3FFCBDC9D	Revoked on December 9, 2020

EV SSL Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
75	CN = GlobalSign ECC EV SSL CA 2018, O = GlobalSign nv-sa, C = BE	8F19FFE02FC795ED70765D1436ADDF772FE0F0773DA436EDBDB42A2E30E2E828	
76	CN = GlobalSign Extended Validation CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	AED5DD9A5339685DFB029F6D89A14335A96512C3CACC52B2994AF8B6B37FA4D2	
77	CN = GlobalSign EC Administration	C883B3ECC6AC0DEE75CDD585E73A209287BC0C9F9D79D488860F63E2EA8A7D2C	Revoked on December 09, 2020

	CA2, O = GlobalSign nv-sa, C = BE		
78	CN = GlobalSign Extended Validation CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	5BB2382D20E09AE56B4F3A5157838F7BAE49E42E3CE53484D66EEB2C0747EF4A	Revoked on January 20, 2021

Non-EV Code Signing Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
79	CN = GlobalSign CodeSigning CA - G3, O = GlobalSign nv-sa, C = BE	4047C9D69260C07213BCB8608A7EC5E2838A56B79F67847812EAC0778D0D27F1	
80	CN = GlobalSign CodeSigning CA - G2, O = GlobalSign nv-sa, C = BE	FFFE077503FD72F0E5338B0A7B4E218E7D1FF82E493E7E852AE51AA1C7585D17	
81	CN = GlobalSign CodeSigning CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	BE40813869AB27A071D12AD6A8830583EBC3B618E3F2346359F4B11A1C9434EE	
82	CN = GlobalSign CodeSigning CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	FB54EEA9BCE8E9EA9782154F3D414277FB709F49B947D73978AC278546C2CE03	

EV Code Signing Issuing CAs			
#	Subject	Fingerprint SHA-256	Additional information
83	CN = GlobalSign GCC R45 EV CodeSigning CA 2020, O = GlobalSign nv-sa, C = BE	CD0E144DD10BAC221FE2FB901058D16450A0578B3C47C770908F2E9ADA28EF12	
84	CN = GlobalSign Extended Validation CodeSigning CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	1E864278C20881B671C0C6D2E14B61150AD1F13CF92C6EC14B550DCBC47E1541	
85	CN = GlobalSign Extended Validation	DD038E87E0B4D2C369680D3DE78638AB39FC1D7E50632996921101768DB8D4D8	



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	CodeSigning CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE		
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Timestamp CAs			
#	Subject	Fingerprint SHA-256	Additional information
86	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Atlas R45 Qualified Timestamping CA 2020, O = GlobalSign nv-sa, C = BE	D86E6264D58E4CA78AF419740D8186EA8EC48F0C33B4B4D7CA027931D627B1C8	
87	2.5.4.97 = NTRGB-04705639, CN = GlobalSign Atlas R45 UK Qualified Timestamping CA 2020, O = GMO GlobalSign Ltd, C = GB	4C03F2D6941F2DFCA27EDC599F385E6CD3FFD0CC842F2CCB95B77580A2D1FF93	
88	CN = GlobalSign Qualified Time Stamping CA 2019, O = GlobalSign nv-sa, C = BE	74ABE5E5CCEB75491FF72C4CF325405D8ADBFE390E189CF430BA60E62798878E	
89	CN = GlobalSign Partners Timestamping CA - SHA384 - G4, O = GlobalSign nv-sa, C = BE	2A015531A5F3A4CE589BD853C71DD069587322F574D85C9C9B9F9DF8F86C075E	
90	CN = GlobalSign Timestamping CA - SHA384 - G4, O = GlobalSign nv-sa, C = BE	F642418E4D0C63DEC785C960EFA68BA745F38851744EF81F225CB89305314D50	
91	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Atlas ECCR5 Qualified Timestamping CA 2020, O = GlobalSign nv-sa, C = BE	E55A4FE881A4B0433674EC0E5CC261A99CCE3FEF649528C7218085BEDCD11512	
92	2.5.4.97 = NTRGB-04705639, CN = GlobalSign Atlas ECCR5 UK Qualified Timestamping CA 2020, O = GMO GlobalSign Ltd,	40382F8827B75D25705A6B3DA32533C5F4218BD7FA697CB0B300E8F47047FBC9	

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	C = GB		
93	CN = GlobalSign Partners TSA CA for AATL, O = GlobalSign nv-sa, C = BE	7E8F914119BB1090D6204908E5AE1F40BE24C1491CD7D5CFB6A93618CBC00FD9	
94	CN = GlobalSign Timestamping CA - G3, O = GlobalSign nv-sa, C = BE	95C6A747DD0BC755A1941827E894B8083592241B792541E2EB1B30FB9B13F57F	
95	CN = GlobalSign Timestamping CA - SHA256 - G3, O = GlobalSign nv-sa, C = BE	BE33D1C57EBDDD927B57BDB604BE457B552FE568E7F3DCBA093C39ED1C30A239	
96	CN = GlobalSign TSA CA for AATL, O = GlobalSign nv-sa, C = BE	4D8EB49380EC72AC9FDF21FE1C6DB2E9490C76BEADD1F7B528C3CCD272C8FE28	
97	CN = GlobalSign Timestamping CA - SHA256 - G2, O = GlobalSign nv-sa, C = BE	9BF9496777D14425ED0086C1BB2C0707B62A61C194C5162E4F07637AFF166B76	
98	CN = GlobalSign Timestamping CA - R3, O = GlobalSign, OU = Timestamping CA	61C1067083AE044EF1D649CE590BBF09D9D739E025DA8D195F71CFAAD6EBAE69	
99	CN = GlobalSign Timestamping CA - G2, O = GlobalSign nv-sa, C = BE	C977923C771E1A66C925A2B6F501732E678DC9887AFE6BFAAC039D1D9A71F0EC	
100	CN = GlobalSign Timestamping CA, O = GlobalSign, OU = Timestamping CA	D0CAE6947BC77F0B495CA808D6CDE685FCD20225E1E530B635B113ED40728EF3	
101	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Qualified Timestamping ECC CA 2020, O = GlobalSign nv-sa, C = BE	C2FEACD674878C7B0C2325A2ECED0A333DB7780A86DFEC3758100EFC0101C665	Revoked on July 08, 2020
102	2.5.4.97 = NTRBE-0459134256, CN = GlobalSign Qualified	FD8B39230117A7E4D060E39489F4FE30662BAFE624A29E43B133615BABC63C20	Revoked on July 08, 2020

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Timestamping CA 2020, O = GlobalSign nv-sa, C = BE		
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Appendix C – Locations

Location Identifier	Country	State / Province
BE-01	Belgium	Vlaams-Brabant
CN-01	China	Shanghai
IN-01	India	Delhi
JP-01	Japan	Tokyo
JP-02	Japan	Yamaguchi
JP-03	Japan	Tokyo
JP-04	Japan	Tokyo
JP-05	Japan	Tokyo
PH-01	Philippines	National Capital Region
RU-01	Russia	Moscow
SG-01	Singapore	Central Singapore
SG-02	Singapore	Central Singapore
UK-01	United Kingdom	Kent
UK-02	United Kingdom	Greater London
UK-03	United Kingdom	Greater London
US-01	United States	New Hampshire

Appendix D – Publicly disclosed incidents

#	Disclosure	Publicly Disclosed Link
1	GlobalSign: SSL Certificates with US country code and invalid State/Prov	Bugzilla Ticket Link
2	GlobalSign: ICAs in CCADB, without EKU extension are listed in WTCA report but not in WTBR report	Bugzilla Ticket Link
3	GlobalSign: Failure to revoke noncompliant ICA within 7 days	Bugzilla Ticket Link
4	GlobalSign: OCSP Status HTTP 530	Bugzilla Ticket Link
5	GlobalSign: Misissuance of QWAC Certificates	Bugzilla Ticket Link
6	GlobalSign: Failure to revoke 2 noncompliant QWACs within 5 days	Bugzilla Ticket Link
7	GlobalSign: Certificate issued with RSASSA-PSS public key	Bugzilla Ticket Link
8	GlobalSign: Failure to revoke key-compromised certificate within 24 hours	Bugzilla Ticket Link
9	GlobalSign: Incorrect OCSP Delegated Responder Certificate	Bugzilla Ticket Link
10	GlobalSign: Cross Certificate with non-conforming CABF Policy OIDs	Bugzilla Ticket Link
11	GlobalSign: Failure to revoke noncompliant ICA within 7 days	Bugzilla Ticket Link
12	GlobalSign: Use of Domain Validation Random Value for more than 30 days	Bugzilla Ticket Link
13	GlobalSign: Failure to revoke noncompliant certificates within 5 days	Bugzilla Ticket Link
14	GlobalSign: Certificates with RSA keys where modulus is not divisible by 8	Bugzilla Ticket Link
15	GlobalSign: Incorrect Jurisdiction of Incorporation information for Japan	Bugzilla Ticket Link
16	GlobalSign: SHA-256 hash algorithm used with ECC P-384 key	Bugzilla Ticket Link
17	GlobalSign: Empty SingleExtension in OCSP responses	Bugzilla Ticket Link
18	GlobalSign: Failure to provide a preliminary report within 24 hours	Bugzilla Ticket Link
19	GlobalSign: Invalid stateOrProvinceName value	Bugzilla Ticket Link
20	GlobalSign: RSA-1024 leaf certificate issued after 2013-12-31	Bugzilla Ticket Link
21	GlobalSign: Issuing CA certificate with wrong notBefore date	Bugzilla Ticket Link